For Internal Use Only Submit 1 Original Expires: SEC Sec File No. 91 and 9 Copies 2018 201 10 F# 2:57 Estimated average barden hours per response: 2.00 Section **UNITED STATES** SECURITIES AND EXCHANGE COMMISSION JUL 1 6 2018 SEC / TM Washington, D.C. 20549 FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New DC Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I Initial Listing Report 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NYSE American, LLC (trading pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open-end Management Investment Company 3. Class of New Derivative Securities Product: Managed Fund Shares 18008295 4. Name of Underlying Instrument: n/a 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 6. Ticker Symbol(s) of New Derivative Securities Product: 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: n/a 8. Settlement Methodology of New Derivative Securities Product: Regular way trades settle on T+2/Book entry only held in DTC. 9. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Martha Redding Title: Associate General Counsel and Assistant Secretary Telephone Number: (212) 656-2938 Securities Exchange Act of 1934 Manual Signature of Official Responsible for Form: Section Rule

Public

Availability:

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July 13, 20**1**8

SEC 2449 (1/99)



Via Overnight Mail

July 13, 2018

Ms. Claudette Ransom US Securities and Exchange Commission Division of Trading and Markets Station Place - Building I 100 F Street, N.E. - Room 7010 Washington, DC 20549

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Associate General Counsel Assistant Secretary

Martha Redding

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JUL 1 6 2018

Washington DC 406

19b-4(e) - Transmittal (NYSE American, LLC) Re:

Dear Ms. Ransom:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

> **ClearShares Ultra-Short Maturity ETF (OPER)** AdvisorShares Dorsey Wright Micro-Cap ETF (DWMC) AdvisorShares Dorsey Wright Short ETF (DWSH)

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

